

# SOCIAL SECURITY ADMINISTRATION

## FY 2017 PRESIDENT'S BUDGET

### Key Tables

**Table i.1 - Summary Table of SSA's Appropriation Request**

FY 2017	FTE	Amount
Payments to Social Security Trust Funds		\$ 11,400,000
Supplemental Security Income Program	-	-
FY 2017 Request	-	\$ 43,824,868,000 <sup>1</sup>
FY 2018 First Quarter Advance	-	\$ 15,000,000,000
Limitation on Administrative Expenses	66,140 <sup>2</sup>	\$ 13,067,000,000 <sup>3</sup>
Office of the Inspector General	560	\$ 112,000,000

<sup>1</sup> Excludes \$14,500,000,000, previously appropriated as a first quarter advance for FY 2017.

<sup>2</sup> Figure includes workyears for LAE, reimbursable work, LIS, SCHIP. Excludes workyears associated with Medicare Access and CHIP Reauthorization Act of 2015 funding.

<sup>3</sup> Includes \$126,000,000 for SSI State Supplementary user fees and up to \$1,000,000 for non-attorney user fees.

**Table i.2 – Administrative Budget Authority and Other Planned Obligations<sup>1</sup> (in millions)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Enacted</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
<b><u>Budget Authority</u></b>				
Base Limitation on Administrative Expenses (LAE)	\$10,285	\$10,599	\$11,121	\$522
Program Integrity Base Level	\$273	\$273	\$273	\$0
Program Integrity Cap Adjustment	\$1,123	\$1,153	\$1,546	\$393
User Fees <sup>2</sup>	\$125	\$137	\$127	-\$10
<b>Subtotal, LAE Appropriation</b>	<b>\$11,806</b>	<b>\$12,162</b>	<b>\$13,067</b>	<b>\$905</b>
Percent change from FY 2016				7.44%
Research	\$83	\$101	\$58	-\$43
Office of the Inspector General (OIG)	\$103	\$106	\$112	\$6
<b>Subtotal, Budget Authority</b>	<b>\$11,992</b>	<b>\$12,369</b>	<b>\$13,237</b>	<b>\$868</b>
Percent change from FY 2016				7.02%
<b><u>Other Planned Obligations</u></b>				
No-year Information Technology	\$438	\$180	\$125	-\$55
MIPPA – Low-Income Subsidy (LIS)	\$0	\$6	\$6	\$0
Medicare Access and Chip	\$0	\$5	\$5	\$0
Reauthorization Act of 2015 (MACRA)				
Recovery Act <sup>3</sup>				
Workload Processing	\$0	\$0	\$0	\$0
Economic Recovery Payments	\$0	\$0	\$0	\$0
National Computer Center	\$43	\$53	\$0	-\$53
OIG Oversight	\$0	\$0	\$0	\$0
<b>Subtotal, Other Planned Obligations</b>	<b>\$481</b>	<b>\$244</b>	<b>\$136</b>	<b>-\$108</b>
<b>TOTAL BUDGET AUTHORITY AND PLANNED OBLIGATIONS</b>	<b>\$12,473</b>	<b>\$12,613</b>	<b>\$13,373</b>	<b>\$760</b>

<sup>1</sup> Totals may not equal sums of component parts due to rounding.

<sup>2</sup> Includes SSI user fees and Social Security Protection Act user fees.

<sup>3</sup> Funds provided in the American Recovery and Reinvestment Act of 2009 (Recovery Act) (P.L. 111-5).

**Table i.3 – SSA Full Time Equivalents and Workyears**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
SSA Full Time Equivalents <sup>1</sup>	63,394	64,860	66,140	1,280
SSA Overtime/Lump Sum Leave	3,077	972	2,498	1,526
<b>Subtotal, SSA Workyears</b>	<b>66,471</b>	<b>65,832</b>	<b>68,638</b>	<b>2,806</b>
Disability Determination Services	14,925	15,270	16,000	730
<b>Subtotal, SSA and DDS Workyears</b>	<b>81,396</b>	<b>81,102</b>	<b>84,638</b>	<b>3,536</b>
OIG Full Time Equivalents	528	540	560	20
OIG Overtime/Lump Sum Leave	5	3	4	1
<b>Subtotal, OIG Workyears</b>	<b>533</b>	<b>543</b>	<b>564</b>	<b>21</b>
<b>TOTAL SSA/DDS/OIG WORKYEARS</b>	<b>81,929</b>	<b>81,665</b>	<b>85,202</b>	<b>3,557</b>

<sup>1</sup>Excludes workyears associated with Medicare Access and CHIP Reauthorization Act of 2015 funding

Table i.4 – SSA Outlays by Program (in millions)

	FY 2015 Actual	FY 2016 Estimate	FY 2017 Estimate	Change FY 16/FY 17
<b><u>Trust Fund Programs</u></b>				
Old-Age and Survivors Insurance (OASI)	\$741,461	\$779,476	\$820,022	\$40,546
Disability Insurance (DI)	\$146,306	\$150,010	\$152,678	\$2,668
<b>Subtotal, Trust Fund Programs</b>	<b>\$887,767</b>	<b>\$929,486</b>	<b>\$972,700</b>	<b>\$43,214</b>
<b><u>Proposed OASDI Legislation:</u></b>				
Increase Minimum Overpayment Withholding	\$0	\$0	-\$8	-\$8
Exclude Debts from Discharge in Bankruptcy	\$0	\$0	-\$9	-\$9
<b>Subtotal, Proposed OASDI Legislation</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$17</b>	<b>-\$17</b>
<b>Medicare Access and Chip Reauthorization Act of 2015 (MACRA)</b>	<b>\$0</b>	<b>\$5</b>	<b>\$5</b>	<b>\$0</b>
<b><u>General Fund Programs</u></b>				
Supplemental Security Income (SSI)	\$58,901	\$64,675	\$61,624	-\$3,051
Special Benefits for Certain World War II	\$3	\$4	\$3	-\$1
Recovery Act: National Support Center	\$63	\$47	\$44	-\$3
<b>Subtotal, General Fund Programs</b>	<b>\$58,967</b>	<b>\$64,726</b>	<b>\$61,671</b>	<b>-\$3,055</b>
<b><u>Proposed General Fund Legislation:</u></b>				
SSI Refugee Extension	\$0	\$0	\$44	\$44
WEP/GPO Enforcement	\$0	\$0	\$70	\$70
W/C Enforcement	\$0	\$0	\$10	\$10
Federal Wage Reporting	\$0	\$0	\$140	\$140
Eliminate Dedicated Accounts	\$0	\$0	\$5	\$5
Verify Real Property Data	\$0	\$0	-\$12	-\$12
Strengthen Child Support Enforcement	\$0	\$0	-\$3	-\$3
<b>Subtotal, Proposed General Fund Legislation</b>	<b>\$0</b>	<b>\$0</b>	<b>\$254</b>	<b>\$254</b>
<b>TOTAL SSA Outlays, Current Law</b>	<b>\$946,734</b>	<b>\$994,217</b>	<b>\$1,034,376</b>	<b>\$40,159</b>
Percent change from FY 2015				4.04%
<b>TOTAL SSA Outlays, Proposed Law</b>	<b>\$0</b>	<b>\$0</b>	<b>\$237</b>	<b>\$237</b>
<b>TOTAL SSA Outlays, Current &amp; Proposed Law</b>	<b>\$946,734</b>	<b>\$994,217</b>	<b>\$1,034,613</b>	<b>\$40,396</b>

**Table i.5 – Current Law- OASDI Outlays and Income (in millions)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
<b><u>Outlays</u></b>				
OASI Benefits	\$ 733,713	\$ 771,988	\$ 812,596	\$ 40,608
DI Benefits	\$ 142,846	\$ 146,448	\$ 149,215	\$ 2,767
Other <sup>1</sup>	\$ 11,208	\$ 11,050	\$ 10,889	-\$ 161
<b>TOTAL OUTLAYS, Current Law</b>	<b>\$ 887,767</b>	<b>\$ 929,486</b>	<b>\$ 972,700</b>	<b>\$ 43,214</b>
<b><u>Income</u></b>				
OASI <sup>2</sup>	\$795,335	\$789,363	\$806,719	\$17,356
DI	\$118,039	\$148,391	\$165,051	\$16,660
<b>TOTAL INCOME, Current Law</b>	<b>\$913,374</b>	<b>\$937,754</b>	<b>\$971,770</b>	<b>\$34,016</b>

**Table i.6 – Current Law- OASDI Beneficiaries and Average Benefit Payments (in thousands)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
<b><u>Average Number of Beneficiaries</u></b>				
OASI	48,338	50,060	51,766	1,706
DI	10,899	10,888	11,006	118
<b>TOTAL BENEFICIARIES</b>	<b>59,237</b>	<b>60,948</b>	<b>62,772</b>	<b>1,824</b>
<b><u>Average Monthly Benefit</u></b>				
Retired Worker	\$ 1,326	\$ 1,346	\$ 1,369	\$ 23
Disabled Worker	\$ 1,160	\$ 1,166	\$ 1,176	\$ 10
Projected COLA Payable in January	1.7%	0.0%	0.8%	0.8%

<sup>1</sup> “Other” includes SSA & non-SSA administration expenses, beneficiary services, payment to the Railroad Retirement Board, and demonstration projects.

<sup>2</sup> The FY 2016 SECA number does not match the MAX input, which contained an incorrect transposition.

**Table i.7 – Current Law- Supplemental Security Income Outlays<sup>1</sup> (in millions)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
Federal Benefits <sup>2</sup>	\$54,647	\$59,610	\$56,166	-\$3,444
Other <sup>3</sup>	\$4,256	\$4,852	\$5,458	\$606
<b>Subtotal, Federal Outlays</b>	<b>\$58,903</b>	<b>\$64,462</b>	<b>\$61,624</b>	<b>-\$2,838</b>
State Supplementary Benefits	\$2,632	\$2,870	\$2,680	-\$190
State Supplementary Reimbursements	-\$2,634	-\$2,657	-\$2,680	-\$23
<b>Subtotal, Net State Supplementary Payments<sup>4</sup></b>	<b>-\$2</b>	<b>\$213</b>	<b>\$0</b>	<b>-\$213</b>
<b>TOTAL OUTLAYS, Current Law</b>	<b>\$58,901</b>	<b>\$64,675</b>	<b>\$61,624</b>	<b>-\$3,051</b>

<sup>1</sup> Totals may not equal sums of component parts due to rounding.

<sup>2</sup> There are 12 payments per year in FY 2015 and FY 2017. There are 13 payments in FY 2016.

<sup>3</sup> “Other” includes beneficiary services, research, administrative costs and \$2M for Special Immigrant Visa in FY 2017.

<sup>4</sup> States must reimburse SSA in advance for State Supplementary Payments. There will always be 12 state reimbursements in each fiscal year, but there can be 11, 12, or 13 benefit payments per fiscal year because a monthly payment is advanced into the end of the previous month anytime the due date falls on a weekend or holiday. Hence, the “Net State Supplementary Payment” numbers vary from year-to-year depending on the timing of the October benefit payments at the beginning and end of each fiscal year.

**Table i.8 – SSI Recipients and Benefit Payments<sup>1</sup> (Recipients in thousands)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
<b><u>Average Number of SSI Recipients</u></b>				
Federal Recipients				
Aged	1,100	1,106	1,111	5
Blind or Disabled	7,073	7,113	7,126	13
<b>SUBTOTAL, FEDERAL RECIPIENTS</b>	<b>8,173</b>	<b>8,219</b>	<b>8,237</b>	<b>18</b>
State Supplement Recipients (with no Federal SSI payment)	171	170	173	3
<b>TOTAL SSI RECIPIENTS, Current Law</b>	<b>8,344</b>	<b>8,389</b>	<b>8,410</b>	<b>21</b>
<i>SSI Federal Recipients Concurrently Receiving OASDI Benefits (included above)</i>				
	2,614	2,629	2,636	7
<b><u>Average Monthly Benefit</u></b>				
Aged	\$ 390	\$ 396	\$ 402	\$ 6
Blind and Disabled	\$ 576	\$ 580	\$ 586	\$ 6
<b>AVERAGE, All SSI Recipients</b>	<b>\$ 551</b>	<b>\$ 556</b>	<b>\$ 561</b>	<b>\$ 5</b>
Projected COLA Payable in January	1.7%	0.0%	0.8%	0.8%

<sup>1</sup> Totals may not equal sums of component parts due to rounding

**Table i.9 – Special Benefits for Certain WWII Veterans Overview  
(Outlays in millions)**

	<b>FY 2015 Actual</b>	<b>FY 2016 Estimate</b>	<b>FY 2017 Estimate</b>	<b>Change FY 16/FY 17</b>
Federal Benefits	\$ 3	\$ 3	\$ 2	-\$ 1
Administration	\$ 0 <sup>1</sup>	\$ 1	\$ 1	\$ 0
<b>TOTAL OUTLAYS</b>	<b>\$ 3</b>	<b>\$ 4</b>	<b>\$ 3</b>	<b>-\$ 1</b>
Average Number of Beneficiaries (in thousands)	1	1	0 <sup>2</sup>	-1
Average Monthly Benefit	\$ 341	\$ 378	\$ 380	\$ 2

<sup>1</sup> Less than \$500,000.

<sup>2</sup> Estimated average number of recipients less than 500.

**Table i.10 – Administrative Outlays as a Percent of Trust Fund Income and Benefit Payments - FY 2017**

	<b>Percent of Income</b>	<b>Percent of Benefit Payments</b>
OASI	0.3%	0.3%
DI	1.8%	2.0%
OASDI (combined)	0.6%	0.6%
SSI (Federal and State)	N/A	9.2%
<b>TOTAL SSA <sup>1</sup></b>		<b>1.3%</b>

<sup>1</sup> Includes Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) administrative outlays. SSA’s calculation of discretionary administrative expenses excludes Treasury Administrative expenses which are mandatory outlays.”

**Table i.11 – Tax Rates, Wage Base and Economic Assumptions**

	CY 2015	CY 2016	CY 2017	Change CY 16/CY 17
<b><u>Employer/Employee Rates (each)</u></b>				
OASDI (Social Security)	6.20%	6.20%	6.20%	0.0%
Hospital Insurance (HI) (Medicare)	1.45%	1.45%	1.45%	0.0%
<b>EMPLOYEE TOTAL</b>	<b>7.65%</b>	<b>7.65%</b>	<b>7.65%</b>	<b>0.0%</b>
<b><u>Self-Employment Rates</u></b>				
OASDI (Social Security)	12.40%	12.40%	12.40%	0.0%
HI (Medicare)	2.90%	2.90%	2.90%	0.0%
<b>TOTAL</b>	<b>15.30%</b>	<b>15.30%</b>	<b>15.30%</b>	<b>0.0%</b>
<b><u>Cost of Living Adjustments (COLAs)</u></b>				
January	1.7%	0.0%	0.8%	0.8%
<b><u>Contribution and Benefit Base</u></b>				
OASDI	\$ 118,500	\$ 118,500	\$ 126,000 <sup>1</sup>	\$ 7,500
HI	(no cap)	(no cap)	(no cap)	
<b><u>Annual Retirement Test</u></b>				
Year Individual Reaches Full Retirement Age	\$ 41,880	\$ 41,880	\$ 44,520 <sup>1</sup>	\$ 2,640
Under Full Retirement Age	\$ 15,720	\$ 15,720	\$ 16,680 <sup>1</sup>	\$ 960
<b><u>Wages Required for a Quarter of Coverage</u></b>	<b>\$ 1,220</b>	<b>\$ 1,260</b>	<b>\$ 1,290<sup>1</sup></b>	<b>\$ 30</b>

<sup>1</sup> Estimate.

**Table i.12 – Selected Performance Measures**

	<b>FY 2015 Actual</b>	<b>FY 2016 Enacted<sup>1</sup></b>	<b>FY 2017 Request</b>
<b><u>Selected Workload Measures</u></b>			
Retirement and Survivors Claims Completed (thousands)	5,327	5,586	5,732
Initial Disability Claims Completed (thousands)	2,759	2,695	2,810
Disability Reconsiderations Completed (thousands)	723	702	715
Hearings Completed (thousands)	663	703	784
National 800 Number Calls Handled (millions)	37	34	38
Average Speed of Answer (ASA) (seconds)	617	945	675
Agent Busy Rate (ABR)	7.5%	9.5%	7.0%
Social Security Numbers Completed (millions)	16	16	16
Annual Earnings Items Completed (millions)	266	264	265
Social Security Statements Issued (millions) <sup>2,3</sup>	50	38	44
<b><u>Selected Outcome Measures</u></b>			
Initial Disability Claims Receipts (thousands)	2,756	2,807	2,817
Hearings Receipts (thousands)	746	730	729
Initial Disability Claims Pending (thousands)	621	733	740
Disability Reconsiderations Pending (thousands)	144	136	137
Hearings Pending (thousands)	1,061	1,087	1,033
Average Processing Time for Initial Disability Claims (days)	114	113	113
Average Processing Time for Disability Reconsiderations (days) <sup>4</sup>	113	N/A	109
Annual Average Processing Time for Hearings Decisions (days)	480	540	555
Disability Determination Services Production per Workyear	307	307	314
Office of Disability Adjudication and Review Production per Workyear	95	94	98
Other Work/Service in Support of the Public - Annual Growth of Backlog (workyears)	N/A	(2,000)	(2,700)
<b><u>Selected Program Integrity Performance Measures</u></b>			
Periodic Continuing Disability Reviews (CDRs) Completed (thousands)	1,972	1,950	2,200
Full Medical CDRs (included above, thousands)	799	850	1,100
Supplemental Security Income Non-Disability Redeterminations Completed (thousands)	2,267	2,522	2,822

<sup>1</sup> FY 2016 is a 53-week year for management information purposes. After the close of the year, we will report both 52 and 53-week actual performance data. Our budgeted performance goals are full-year goals based on the workyears we are able to support with funds available in FY 2016.

<sup>2</sup> The Social Security Statements Issued measure includes paper statements only; does not include electronic statements issued.

<sup>3</sup> The original estimate for Social Security Statements (SSS) Issued measure in FY 2016 President's Budget was 45 million. This has been reduced by ~6.6 million, as a result of the agency sending out the scheduled SSS for FY 2016 October in FY 2015 September. Therefore, the FY 2016 Enacted number has been adjusted to ~38 million.

<sup>4</sup> We developed management information for Average Processing Time for Disability Reconsiderations in FY 2013. FY 2014 was the first full fiscal year for which data was available for this measure. Now that we have had the opportunity to analyze at least two years of actual data, this year we developed a performance target for FY 2017.